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July 8, 1999
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(Reg. No. 37,858)

Jc929 U.S. PTO
09/833327
04/12/01

File No.: 1078/1B925US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Samuel J. Danishefsky *et al.*

Serial No.: 09/042,280

Group Art Unit: 1623

Filed: January 13, 1997

Examiner: Lee, H.

For: COLON CANCER KH-1 AND N3 ANTIGENS

July 8, 1999

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56 and §1.97, applicants wish to direct the Examiner's attention to the following disclosures of which applicants have become aware, or which were cited by the International Searching Authority in connection with the related PCT International Application:

1. V. Bencomo *et al.*, "Synthesis of glycopeptides having clusters of *O*-glycosylic disaccharide chains. . .," *Carbohydrate Research*, 116, c9-c12, 1983 (Ex. 2);
2. M. Elofsson *et al.*, "Preparation of Tn and Sialyl Tn Building Blocks. . .," *Tetrahedron*, 53, 369-390, 1997 (Ex. 3);
3. M. Elofsson and J. Kihlberg, "Synthesis of Tn and Sialyl Tn building Blocks for Solid Phase Glycopeptide Synthesis," *Tetrahedron Letters*, 36, 7499-7502, 1995 (Ex. 4);
4. P. Fung *et al.*, "Active Specific Immunotherapy of Murine Mammary . . .," *Cancer Research*, 50, 4308-4314, 1990 (Ex. 5);
5. R. Gleiter *et al.*, "Synthesis and Properties of Eight-and Ten-Membered Selenaradialenes," *Tetrahedron Letters*, 35, 8779-8782, 1994 (Ex. 6);
6. P. Grice *et al.*, "Turning the Reactivity of Glycosides: Efficient One-pot Oligosaccharide Synthesis," *SYNLETT*, 781-784, 1995 (Ex. 7);
7. A. Kameyama *et al.*, "Total Synthesis of Sialyl Lewis X*," *Carbohydrate Research*, 209, c1-c4, 1991 (Ex. 8);
8. H. Kondo *et al.*, "In vitro action of human and porcine α -amylases. . .," *Carbohydrate Research*, 204, 207-213, 1990 (Ex. 9);
9. H. Kunz *et al.*, "Construction of Disaccharide N-Glycopeptides. . .," *Angew. Chem. Int. Ed. Engl.*, 24, 883-885, 1985 (Ex. 10);
10. H. Kunz *et al.*, "Synthesis of *O*-Glycopeptides of the Tumor-Associated T_N . . .," *Angew. Chem. Int. Ed. Engl.*, 25, 360-362, 1986 (Ex. 11);

11. B. Liebe and Horst Kunz, "Solid Phase Synthesis of a Tumor-Associated Sialyl-T_N Antigen Glycopeptide. . .," *Angew. Chem. Int. Ed. Engl.* 33, 618-621, 1997 (Ex. 12);
12. H. Lönn, "Synthesis of a Tri- and a Hepta-saccharide. . .," *Carbohydrate Research*, 139, 105-113, 1985 (Ex. 13);
13. K.C. Nicolaou *et al.*, "Stereocontrolled Synthesis of Sialyl Le^x, . . .," *J. Chem. Soc., Chem. Commun.*, 870-872, 1991 (Ex. 14);
14. P. Schultheiss-Riemann *et al.*, "O-Glycopeptide Synthesis. . .," *Angew. Chem. Int. Ed. Engl.*, 22, 62-63, 1983 (Ex. 15).

Copies of the above-listed documents (Exhibits 2-15) are submitted herewith. In addition, these documents are listed on Form PTO-1449B which is attached hereto (Exhibit 1). To the extent that a document is listed and no copy thereof is attached, then such document is not at present available to the undersigned, or is available in the file of a prior related application relied upon for an earlier filing date under 35 USC §120. 37 CFR §1.98(d).¹

Applicants respectfully solicit the Examiner's consideration of the documents and entry into the record of this application. The citation of any document in the

If a listed document is not in the English language and an English translation is readily available, such translation is also attached; if a translation is not attached, it is not readily available to the undersigned. If a foreign language document is cited, and an English language equivalent is known to the undersigned, then such equivalent patent is also cited on the attached form along with the corresponding foreign language patent, and a connecting arrow indicated therebetween; if no such English language equivalent is cited, then none is known to the undersigned.

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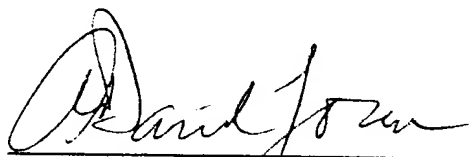
1078/1B925US1

present Information Disclosure Statement is not to be construed as an admission that such document is necessarily relevant or is prior art.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone him at the number provided.

No fee is believed necessary in connection with the filing of this Information Disclosure Statement since it is being filed prior to the issuance of a first Official Action on the merits. 37 CFR §1.97(b)(3). However, if a first Official Action on the merits is mailed on or before the submission date of this Information Disclosure Statement, or any fee is otherwise deemed required, authorization is hereby given to charge the amount of such fee to Deposit Account 50-0540.

Respectfully submitted,



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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if known	
			Application Number	Serial No. 09/042,280
			Filing Date	January 13, 1997
			First Named Inventor	Samuel J. Danishefsky
			Group Art Unit	1623
			Examiner Name	Lee, H.
Sheet 1 of 2	Attorney Docket Number 1078/1B925US1			

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OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T*
		V. Bencomo <i>et al.</i> , "Synthesis of glycopeptides having clusters of O-glycosylic disaccharide chains. . .," <i>Carbohydrate Research</i> , <u>116</u> , c9-c12, 1983 (Ex. 2)	
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Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.
 Include copy of this form with next communication to applicant.

* Unique citation designation number. * Applicant is to place a check mark here if English language Translation is attached.

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				Examiner Name	Lee, H.
				Attorney Docket Number	1078/18925US1
Sheet	2	of	2		

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OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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